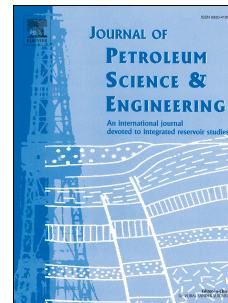


# Journal Pre-proof

The identification of normal to underpressured formations in the Southeastern Sichuan basin

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Journal Pre-proof

1                   **The Identification of Normal to Underpressured Formations in the**  
2                   **Southeastern Sichuan Basin**

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12  
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15  
16                   **Abstract**

17 The Sichuan Basin contains many overpressured formations with pore pressure  
18 coefficients as high as 2.3. However, in a Nanye 1 well found in the Southeastern (SE)  
19 Sichuan Basin, low-pore pressure coefficients as low as 0.9 have been recorded at a  
20 depth between 1544.3 and 1903.6 m, indicating the presence of underpressured  
21 formations. But the knowledge of normal and underpressured formations is not well  
22 studied in the SE Sichuan Basin. In this work, the normal to underpressured formations  
23 in the SE Sichuan Basin are identified, and their origins are discussed.

24 We integrated the pore pressure measurement and estimation, pore pressure coefficient  
25 and pore pressure depth plot to identify the normal to underpressured formations. We  
26 also integrated basin modelling along with the faults system to determine the origins of  
27 underpressured formations. As a result, the Upper Permian Longtan and Middle  
28 Permian Maokou Formations (1906.5-2782.9 m depth) in the Nanchuan area, were  
29 identified as normal-to-underpressured gas deposits. Also, the study identified the  
30 Lower Silurian Longmaxi and Upper Ordovician Wufeng Formations (2072-2160 m  
31 depth) in the Pengshui area, SE of the Chongqing region as normal pressured gas  
32 formations. The study discovered a decrease in temperature, rock porosity rebound and  
33 gas migration out of the formations through faults as the reasons for underpressured  
34 formations in this area. The tectonic uplift and erosion resulted in a decrease in  
35 temperature and rebound of the rock porosity, which contributed to total pore pressure  
36 drop up to 46.62 MPa. The tight overlying seal rocks and underlying rocks helped to  
37 sustain the low pore pressures in these formations.

## 38 1. Introduction

39 The increase in gas and oil demand and depletion of the matured gas and oil fields  
40 attract petroleum experts to put their eyes on the underpressured reservoirs (Dasgupta  
41 and Mukherjee, 2020). The underpressured reservoirs have formation pore pressures  
42 less than the hydrostatic pressure. Whereas the higher-pore pressure formations are  
43 called overpressured formations, and normal pressured formations have formation pore  
44 pressures equal to hydrostatic pressure. According to Duan and Wu (2020), the number  
45 of underpressured oil and gas fields in the world is large. By 1995 it was approximated  
46 that 11.7% of the 160 worldwide oil and gas fields were underpressured and about 15.7%  
47 of the 210 worldwide gas fields were underpressured gas fields. Currently, there are  
48 many known underpressured reservoirs in the world, e.g., Palaeozoic gas reservoirs in  
49 Alberta Basin, Canada, the Palaeozoic gas reservoirs in Denver Basin, USA, and the  
50 Mesozoic gas reservoirs in Ordos Basin, China, which have pore pressure coefficients  
51 ranging from 0.7 to 0.9 (Wang et al., 2021). The presence of underpressure in the  
52 formation influences hydrocarbon generation, migration and accumulation (Hunt,  
53 1990). Therefore, identifying the location and origins of underpressured formations is  
54 very significant in the exploitation of gas or oil reservoirs.

55 Many studies have been done to analyse the origins of underpressured formations  
56 through geological analysis, geophysical analysis and numerical simulation. Erosional  
57 unloading, rock dilatancy and temperature decrease are termed as the origins of  
58 underpressure in the formations (Andreotti and Pouliquen, 2013; Barker, 1972; Bradley,  
59 1975; Dickey and Cox, 1977; Duan and Wu, 2020; Hao et al., 2011; Nedderman, 2005;  
60 Neuzil and Pollock, 1983). But also, the migration of gases out of a formation through  
61 faults can reduce the formation volume and then causes underpressure in the formation  
62 (Law and Dicknson, 1985; Liu and Xie, 2002). Groundwater movement and the effect  
63 of osmosis are other origins of underpressure in the formations (Nelson, 2012;  
64 Serebryakov et al., 2002; Sorenson, 2005). The analysis of these factors shows that  
65 different underpressured formations have different origins.

66 The Sichuan Basin has a great potential for natural gas, which is approximated to be  
67 626 trillion cubic feet (Tcf) of technically recoverable shale gas resources (Jiang et al.,  
68 2016). Although China has great potential for natural gas, they are the larger importer  
69 of gas (Lin and Wang, 2012; Wang et al., 2020). According to CHINA DATA, in 2021  
70 natural gas imports in China increased by 20%. This indicates that the demand for  
71 natural gas in China is huge and there is a necessity to exploit both overpressured,  
72 normal pressured and underpressured hydrocarbon formations. The basin has several  
73 discovered gas fields, which are dominated by overpressured formations having pore  
74 pressure coefficients up to 2.3 (Hong et al., 2018; Lei et al., 2019; Li et al., 2016; Liu  
75 et al., 2016; Liu et al., 2016; Wang and Zhu, 2020; Xie et al., 2009; Yang et al., 2003;  
76 Yang et al., 2016; Zeng, 2010). However, some wells, including the Nanye 1 and  
77 Pengye 1 wells in the SE Sichuan Basin, have a complex pore pressure distribution.  
78 The pore pressure coefficients in the Nanye 1 well at 1544.3-4410.7 m depth range  
79 from 0.9 to 1.45 and in the Pengye 1 well at 2072-2160 m depth is 1.06. These

80 coefficients indicate the presence of normal and underpressured formations in this area.  
81 So far, no research has been done on the (i) identification of normal and underpressured  
82 gas formations, and (ii) the origins of underpressured formations in the SE Sichuan  
83 Basin.

84 Although the underpressured formations are few in Sichuan Basin, the targeted gas  
85 formations are rich in organic matter. When both underpressured, normal pressured and  
86 overpressured formations in Sichuan Basin are produced, they will be able to produce  
87 about 60% of China's natural gas imports (Wang, 2020; Zhao et al., 2021). This work  
88 aims to bridge the mentioned gap in research by identifying normal and underpressured  
89 formations and studying the origins of underpressured formations.

90 We integrated the pore pressure measurement and estimation, pore pressure coefficient  
91 evaluation and pore pressure depth plot techniques to identify the normal to  
92 underpressured formations. Also, we applied basin modelling, faults system knowledge  
93 and mathematical models to determine the origins of underpressured formations. The  
94 identification of normal and underpressured formations and their origins will be useful  
95 to production engineers in extracting natural gas. Engineers can use this knowledge in  
96 designing the natural gas production system, including planning compressors' capacity  
97 or diameter of production pipes. Also, the study will help engineers design the methods  
98 of boosting formations' pore pressure, such as water flooding.

## 99 **2. Geological setting**

100 This study was conducted in the Chongqing region (Nanchuan area and SE of  
101 Chongqing region)-east of the Sichuan Basin (Fig. 1) and has an area of approximately  
102  $1.98 \times 10^4 \text{ km}^2$ . The SE of the Chongqing area consists of two parts, Eastern Sichuan  
103 ejective fold belts and the Western Hubei-Eastern Chongqing trough fold belts (Jing et  
104 al., 2016). Sichuan Basin is located in the Southwest of China. The basin is part of the  
105 Yangtze Platform, which is located in the northwestern. It is a huge, intracratonic basin  
106 sitting on the stable south China Block. The Sichuan Basin has a large area of  
107 approximately  $23 \times 10^4 \text{ km}^2$ . The basin was formed in the late Proterozoic and extends  
108 to the present (Korsch et al., 1991; Liu et al., 2017). In the middle of the basin, the  
109 basement contains intermediate basite magmatic rocks that have experienced strong  
110 metamorphism (Zhili, 1998). The Sichuan Basin is surrounded by mountains on both  
111 sides. In the north, south, west and east, the basin is surrounded by Micang and Daba,  
112 Daliang, Longmen, and Dalou mountains, respectively (Xu et al., 2018).

113

### 114 **The stratigraphy of the Southeastern Sichuan Basin**

115 The stratigraphy shows that the SE Sichuan Basin experienced several tectonic  
116 movements including Caledonian, Indosinian, Yanshanian and Himalayan. The region  
117 consists of several formations with successions zones of clastic rocks (shale, sandstone  
118 and mudstone) and carbonate rock (limestone) (Fig. 2). These rocks were deposited

119 during the tectonic evolution stages. Those tectonic evolution stages include cratonic  
120 depression and a foreland basin, which occurred in the Palaeozoic and Triassic Eras,  
121 respectively (Shu-Gen et al., 2016). In the craton stage, the marine carbonate rocks were  
122 deposited. The terrestrial clastic rocks were deposited during the foreland basin stage  
123 (Yi-Feng et al., 2015). Therefore, these tectonic evolution stages caused the region to  
124 comprise interbedded formations of clastic beds and carbonate beds (Feng et al., 2003;  
125 Guo et al., 2011; Wang, 2003). In addition, the tectonic disruptions generated many  
126 faults and unconformities, which caused hydrocarbon migration and gas preservation  
127 (Shugen et al., 2012). Other thrust faults formed in the region, in the Early to Middle  
128 Jurassic, due to the squeezing of the region. The stress regime of those thrust faults  
129 turned from extrusion to extension during the Cretaceous. But due to the influence of  
130 the intrusion of the India-Australian plate onto the Eurasian plate, the stress regime  
131 changed again from extension to extrusion in the Neogene (Wo et al., 2007).

132 Several series of tectonic uplifts and erosions happened in this region. The first tectonic  
133 uplift and erosion happened during the Devonian and Carboniferous periods. The major  
134 erosion happened during the Permian and Early Triassic. Another tectonic uplift  
135 happened in the late Triassic and during this time the collision between the North China  
136 Plate and the South China plate happened (Qi et al., 2015; Wang et al., 2015). The  
137 tectonic uplift exposed some underlying formations on the surface, and the erosion  
138 removed the exposed surface. Hence, the tectonic uplift and erosion caused the absence  
139 of some formations in the basin (Yi-Feng et al., 2015).

140 The main source rocks in this region are Longmaxi, Wufeng, Longtan and Maukou as  
141 shown in Fig. 2. The Longmaxi shale was deposited in the deep-sea shelf sedimentary  
142 environments in the Early Silurian period. This happened when shale experienced deep  
143 burial in the Yanshan period. But the occurrences of tectonic uplift and erosion caused  
144 the hydrocarbons to migrate out of the formation (Hailong et al., 2012). The Wufeng  
145 shale formed in the Late Ordovician period in the foreland basins due to the foreland  
146 uplifts that occurred in the orogenic belts (Jing et al., 2016).

### 147 **3. Methodology**

148 To conduct this study, different approaches were used. In identifying the normal to  
149 underpressured formations, we used pore pressure measurement, pore pressure  
150 evaluation and pore pressure depth analysis. Whereas the basin modelling, evaluation  
151 of the effect of uplift and erosion by the mathematical model and studying the effect of  
152 faults system in the region were used in studying the origins of underpressured  
153 formations.

#### 154 **3.1.Pore pressure evaluation**

155 The process of evaluating the pore pressure system in the Chongqing region was  
156 conducted by the following different approaches including direct pore pressure

157 measurement, pore pressure coefficient evaluation and pore pressure depth relationship  
158 analysis.

### 159 **3.1.1. Pore pressure measurement**

160 The formation pore pressures were measured by using the drill stem tests (DSTs). In  
161 the DSTs, fluid flowed from the formation to the drilling pipe and then to the surface.  
162 The test tool was installed in the drilling pipe. During the test, the formation fluid  
163 entered the drilling pipe and passed through the test tool. When the formation fluid  
164 passed through the test tool, its pressure was recorded. It was recorded in the zone of  
165 interest, for example in the Nanye 1 well the pressure was recorded in the 1544.3-  
166 4410.7 m depth. In the Pengye 1 well, the pressure was recorded at 2072-2160 m depth  
167 (in the shale formation). The pore pressure data were collected from the report of  
168 Sinopec East China Petroleum Engineering Co., Ltd, this company conducted the DSTs.  
169 The pore pressure data were collected from different wells including Shengye 1,  
170 Shengye 3, Jiaoye 194-3, Jiaoye 10-10, Longye 1, Pengye 1 (PY 1) and Nanye 1 (NY  
171 1) wells. These wells are located southeast of the Sichuan Basin. These wells penetrated  
172 different formations composed of different lithologies including limestone, mudstone,  
173 shale and sandstone.

### 174 **3.1.2. Pore pressure coefficient evaluation**

175 After measuring the formation pore pressure ( $P_{re}$ ), the pore pressure coefficient was  
176 evaluated. The pore pressure coefficient ( $\alpha$ ) is defined as the ratio of the original  
177 reservoir pore pressure to the hydrostatic column pressure. The pore pressure  
178 coefficients were calculated by using Equation (1) (Du et al., 1995).

$$\alpha = \frac{P_{re}}{P_{hydr}} \quad (1)$$

179 where  $P_{re}$ : formation pore pressure and  $P_{hydr}$ : hydrostatic pressure. A water density of  
180  $1.0 \text{ g/cm}^3$  was used in calculating hydrostatic column pressure. The pore pressure  
181 coefficient was evaluated to determine the pore pressure distribution in the formations.

182 In general, when the pore pressure of the formation is less than hydrostatic pressure or  
183 the pore pressure coefficient is less than 1, the formation is termed an underpressured  
184 formation. Researchers in the former Soviet Union define formations with a pore  
185 pressure coefficient less than 0.8 as ultra underpressured formations, 0.8–1.0 as  
186 underpressured formations and 1.0–1.05 as normal pressured formations (Du et al.,  
187 1995). However, EXXON company in the United States defines a formation with a  
188 pore pressure coefficient less than 0.8 as ultra underpressured formations and 0.8–0.96  
189 as underpressured formations (Du et al., 1995). The identification of normal to  
190 underpressured formations in this work was done based on Chinese researchers, Hao et  
191 al. (2005); Wang et al. (2020) as shown in Table 1.



### 192 **3.1.3. Pore pressure depth relationship**

193 The pore pressure distribution in the formations was evaluated based on the pore  
194 pressure depth relationship. The pore pressure increases with depth and the relationship  
195 is linear. The pore pressure data were used to plot the pressure change in different  
196 depths to evaluate the pressure distribution in the formations. The slope of the pore  
197 pressure depth plot is called the pore pressure gradient. The hydrostatic pressure  
198 gradient of many formations is 0.465 psi/ft (0.105 bar / m). The formation with a pore  
199 pressure gradient less than the hydrostatic pressure gradient is referred to as an  
200 underpressured formation. Whereas the formation with a pore pressure gradient greater  
201 than the hydrostatic pressure gradient is referred to as overpressured formation as  
202 discussed by Dahlberg (2012); Hao et al. (2012) and Lin et al. (2020)

### 203 **3.2. Basin modelling**

204 The basin modelling techniques were employed to investigate the burial and thermal  
205 maturity history of sedimentary basins. The basin modelling explains the dynamic  
206 modelling of geological processes in sedimentary basins over geological periods  
207 (Mukherjee and Kumar, 2018d). According to Amobi et al. (2019), basin modelling  
208 techniques help exploration geoscientists to simulate basin evolution, petroleum  
209 generation, expulsion, migration, and accumulation. Furthermore, the basin modelling  
210 helps to know if there were uplift, erosion, or changes in the burial depth in the  
211 formation. According to Handhal and Mahdi (2016), the temperature and pore pressure  
212 histories and their distributions can also be analysed by basin modelling.

213 1D modelling of burial history and thermal maturity was performed on the two wells,  
214 Pengye 1 and Nanye 1 wells, using a PetroMod 1D (v.9, 2005) simulator. PetroMod 1D  
215 is a simulator that fully integrates seismic and geological interpretation with a  
216 multidimensional simulation of thermal 3-phase fluid and petroleum migration histories  
217 in sedimentary basins. This simulator combines deposition, determination of pore  
218 pressure, compaction, analysis of heat flow, calculation of temperature, the kinetics of  
219 calibration parameters, modelling of hydrocarbon generation, adsorption and expulsion  
220 processes, fluid analysis, and lastly migration (Handhal and Mahdi, 2016). The  
221 simulator needs much information as input data. The input data in this simulator were  
222 position and thickness of formations (depth, thickness), deposition and erosion  
223 thickness, ages of deposition and erosion, lithologies, petrosystem potential elements  
224 (source rock, overburden, underburden), total organic carbon (TOC), hydrogen index  
225 (HI), and kinetics data. In modelling the basin by PetroMod 1D simulator, it was  
226 assumed that there is zero paleobathymetry, the model simulation gives valid  
227 temperature reconstruction and no significant thermal impact from igneous activities.  
228 Also, it was assumed that the sedimentary surface temperature is the same as the  
229 paleotemperature from the corresponding paleowater depth.

### 230 **The input data**



231 The Sinopec East China Petroleum Engineering Co., Ltd conducted the DST. The report  
 232 of this company contains many data from the top to the bottom of the wells. So, the  
 233 input data were obtained from that report. The input data obtained in that report were  
 234 the names, depths, and thickness of the formations, as well as the lithologies. Also,  
 235 other input data including TOC, HI and kinetics data in the zones of interest were  
 236 obtained from that report.

### 237 **The ages of deposition and erosion**

238 The age of deposition and the age of erosion are very essential input data in the  
 239 PetroMod 1D simulator. It is required to recognize the geological event of deposition  
 240 and hiatus, as a result, one can calculate the paleo times of deposition and erosion of  
 241 the layers (Hantschel and Kauerauf, 2009). In this work, the ages of erosion and  
 242 deposition were obtained from literatures (Cao et al., 2020; Jing et al., 2016), these  
 243 literatures contained the geological events of the SE Sichuan Basin.

### 244 **Porosity determination**

245 Compaction and porosity are decreasing as the burial depth increase. There are several  
 246 methods to determine the porosity, the more accurate methods are by using open hole  
 247 well logs such as sonic, neutron, and density logs. The plot of porosities versus depths  
 248 was used to evaluate the compaction. Also, by knowing the initial porosity, the  
 249 porosities at different depths were approximated by using Athy's equation as shown by  
 250 Equation (2) (Abd Aziz et al., 2009; Mukherjee, 2018a; Mukherjee, 2018b; Mukherjee,  
 251 2018c).

$$\theta_z = \theta_o e^{-cz} \quad (2)$$

252 Where  $z$ : depth,  $\theta_o$ : initial porosity (0.49 for Sandstone, 0.55 for Shale, 0.52 for  
 253 Limestone, 0.42 for Dolomite),  $\theta_z$ : porosity at depth  $z$  and  $c$ : coefficient of the curve  
 254 (0.0003 for Sandstone, 0.0005 for Shale, 0.0006 for Limestone, 0.0004 for Dolomite)  
 255 (Abd Aziz et al., 2009).

### 256 **Eroded thickness**

257 The eroded thickness is another important input data in the PetroMod 1D simulator.  
 258 The eroded thickness was calculated from the age of erosion and age of deposition by  
 259 using Equation (3) as suggested by Zhang et al. (2018).

$$T_{er} = T_0 \times \frac{A_{er}}{A_{dep}} \quad (3)$$

260 where  $T_0$ : original sediment thickness,  $T_{er}$ : eroded thickness,  $A_{er}$ : age of erosion and  
 261  $A_{dep}$ : age of deposition.

### 262 **Sediment decompaction**

263 The first step in sedimentation decompaction is to evaluate the original sediment  
 264 thickness of the growing sedimentary fill from the basin floor and up-to-date  
 265 stratigraphic boundaries in particular exposure. The original sediment thickness was  
 266 evaluated from the present porosity and current thickness of the sediment by using  
 267 Equation (4) (Hantschel and Kauerauf, 2009).

$$T_o = \frac{1 - \theta_z}{1 - \theta_o} \times T_p \quad (4)$$

268 Where  $T_p$ : present thickness and  $\theta_z$ : current porosity.

## 269 Heat flow

270 The magnitude, orientation, and distribution of heat flow at the base of rocks depend  
 271 on the mechanical and thermal processes of the crust and mantle (Allen and Allen,  
 272 2005). The heat flow in the basin was estimated by the mathematical model (Equation  
 273 (5)) (Hantschel and Kauerauf, 2009) in-built within the simulator. The mathematical  
 274 model was developed on the basis that heat transfers in the rock through conduction  
 275 and radiation (by fluid in the faults) (Beardsmore et al., 2001).

$$Q_z = k \frac{dt}{dz} \quad (5)$$

276 Where  $Q_z$ : vertical component of heat flow ( $\text{MW m}^{-1}$ ),  $k$ : thermal conductivity  
 277 ( $\text{Wm}^{-1} \text{ } ^\circ\text{C}^{-1}$ ) and  $\frac{dt}{dz}$ : geothermal gradient ( $^\circ\text{C/km}$ ).

278 The thermal conductivity is determined by using Equation(6) (Hantschel and Kauerauf,  
 279 2009).

$$k = k_m^{1-\theta} \times k_w^\theta \quad (6)$$

280 Where  $k$ : bulk thermal conductivity,  $k_w$ : water conductivity ( $0.59 \text{ Wm}^{-1} \text{ } ^\circ\text{C}^{-1}$ ),  $\phi$ :  
 281 porosity (%) and  $k_m$ : rock matrix conductivity (1.45 for shale, 3.75 for dolomite, 2.64  
 282 for sandstone, 2.56 for limestone, 5.4 for anhydrite) (Hantschel and Kauerauf, 2009).

283 The heat flow was evaluated by following three procedures. Those procedures include  
 284 obtaining the values of porosity, obtaining the  $k_m$  values from lithological sections of  
 285 the wells, and estimating the geothermal gradient from the values of surface  
 286 temperature ( $T_s$ ), true depth (TD) and bottom hole temperature (BHT).

287 The basin modelling procedure in this work is shown in Fig. 3. First, the input data are  
 288 gathered from the report of Sinopec East China Petroleum Engineering Co., Ltd,  
 289 literatures, and the estimates of the mathematical models. Second, the kinetic model is  
 290 selected to classify and evaluate the source rock, as well as evaluate the maturity of  
 291 hydrocarbons in the formations, and then the simulator is run, and results are obtained.  
 292 The third procedure involves the calibration of the model. Calibration of the model  
 293 involves comparing the known or measured parameters (temperature, vitrinite  
 294 reflectance and pore pressure) with the results obtained in the simulator. The calibration

295 of the model can be done to a single selected parameter or many selected parameters.  
 296 This is done to check if the selected kinetic model suits these hydrocarbon formations  
 297 (source rocks). If the simulator's results are almost equal (close) to the measured  
 298 parameters, then all the simulator's results will be accepted. But if the simulator's  
 299 results disagree with the measured parameters, then the kinetic model will be changed  
 300 until when the proper results are obtained.

301

302 So, the data collected from the exploration company report, literatures and estimates  
 303 from the mathematical models were added to the simulator. Then the Sweened and  
 304 Burnham (1990) \_T3 model was selected to classify and evaluate the source rock as  
 305 well as gas-oil-to-source correlations. Vitrinite reflectance (Ro) data were selected to  
 306 calibrate the model. As shown in Fig. 4, the measured Ro (data with blue plus in the  
 307 figure) was very close to the Ro estimated in the simulator by the Sweened and  
 308 Burnham (1990) \_T3 model (represented by the yellow line). The closeness of the  
 309 measured data to the simulator's results indicated the suitability of the model in these  
 310 hydrocarbon formations. Hence, all results in the model were accepted for further  
 311 analysis.

312

### 313 **3.3.Pore pressure drops caused by tectonic uplift and erosion**

314 According to Xu et al. (2008) and Xu et al. (2010), tectonic uplift and erosion contribute  
 315 to the drop of pore pressure in the formations. The tectonic uplift and erosion reduce  
 316 the formation pore pressure by reducing the formation temperature and rebound of the  
 317 rock porosity. A rebound of the rock porosity is the action of increasing the pore volume  
 318 due to the relaxation of the rock when overburden stress is reduced. According to Xu  
 319 et al. (2010), the drop in pore pressure ( $\Delta P$ ) which is caused by tectonic uplift and  
 320 erosion can be evaluated by using Equation (7).

$$\Delta P = \left\{ \frac{1}{3} \left( \frac{1+\gamma}{1-\gamma} \right) \left( \frac{\beta_r}{\beta_f + \beta_r} \right) g \rho_r \Delta h \right\} + \left[ \frac{\alpha_f}{\beta_f + \beta_r} \Delta T \right] \quad (7)$$

321 Whereby  $\gamma$ : Poisson ratio,  $\beta_r$ : pore volume compressibility,  $\beta_f$ : liquid compression  
 322 coefficient,  $g$ : acceleration due to gravity,  $\rho_r$ : density of the rock,  $\Delta h$ : erosion thickness,  
 323  $\alpha_f$ : liquid expansion coefficient and  $\Delta T$ : reduction in temperature. The part of Equation  
 324 (7) inside the curly bracket represents the pore pressure drop due to the rebound of the  
 325 rock porosity and that inside the square brackets denotes the pressure drop due to the  
 326 reduction of temperature.

## 327 **4. Results and Discussions**

328 Different methods were used in identifying the normal to underpressured formations  
 329 and studying the origins of underpressured formations in the SE Sichuan basin. The

330 pore pressure coefficient evaluation and pore pressure depth plot analysis methods were  
331 used in identifying the normal to underpressured formations. Whereas, the basin  
332 evolution (showing temperature changes, pore pressure changes and maturity of  
333 organic matter), the effect of tectonic uplift and erosion and the faulting system were  
334 studied to uncover the origins of underpressured formation. The main results of this  
335 study are as follows:

#### 336 **4.1.Pore pressure coefficient ( $\alpha$ )**

337 There was a great variation of pore pressure in the Chongqing region. The average pore  
338 pressure coefficients of the wells drilled in this area were different as shown in Table  
339 2. Pengye 1 well was having normal pore pressure, whereas other wells were  
340 overpressured. Only three wells, Nanye 1, Pengye 1, and Shengye 1 were chosen for  
341 pore pressure coefficient evaluation. Also, the two wells, Nanye 1 and Pengye 1 wells  
342 were modelled to study the origins of underpressured formations.

343

344 The Nanye 1 (NY 1) well was drilled in the Chongqing region in a place called  
345 Nanchuan. This well penetrated different formations including Jialingjiang,  
346 Feixianguan, Changxing, Longtan, Maokou, Qixia, Liangshan, Huanglong, Hanjiadian,  
347 Xiaoheba, Longmaxi, Wufeng, Linxiang, and Pagoda formations from SE Sichuan  
348 Basin (Fig. 2). The pore pressure coefficients increased with depth in the Nanye 1 well.  
349 At 1544.3-1903.6 m depth, the well-recorded pore pressure coefficients were less than  
350 0.96. At 1903.6-2570.2 m depth, the well-pore pressure coefficients were between 0.96  
351 and 1.06. The higher-pore pressure coefficients were recorded in the well at 3876-  
352 4410.7 m depth which reached up to 1.45 (Fig. 5).

353

354 Pengye 1 well was drilled in the southeast of the Chongqing area. The data in this well  
355 were recorded in the zone of interest (zone which contained gas), which is found at  
356 2072-2160 m depth. The pore pressure coefficient in this well is 1.06 throughout that  
357 depth. The third well in which the pore pressure analysis was done is the Shengye 1  
358 well. This well is found in the Nanchuan, south of the Nanye 1 well. At 1323.3-1454.6  
359 m depth in the Shengye 1 well, the pore pressure coefficient was 1.2, at 1621.3- 1694.8  
360 m depth it was 1.3, and at 2838.5- 2995.8 m depth was 1.1.

361 The normal to underpressured formations were identified based on the classification of  
362 Hao et al. (2005); Wang et al. (2020). The calculated pore pressure coefficients showed  
363 that in Nanye 1 well, the formations found at the depth between 1544.3 and 2570.2 m  
364 were having normal to underpressure, whereas others were overpressured formations.  
365 Also, the results show that the Pengye 1 well penetrated in the normal pressured  
366 formations at the depth between 2072 and 2160 m. All formations in the Shengye 1  
367 well are overpressured formations.

#### 368 **4.2.Pore pressure depth plot**

369 The variation of pore pressure in a Nanye 1 well through different formations is shown  
370 in Fig. 6. The Figure shows that in the upper formations at 1544.3-1904 m depth, the  
371 pore pressures were less than the hydrostatic pressure. This depth has three formations,  
372 the Lower Triassic Feixianguan, Upper Permian Changxing and Upper Permian  
373 Longtan Formations. At 1904-2570.2 m depth, the pore pressure was slightly higher  
374 than the hydrostatic pressure but lower than the pore pressure coefficient of 1.06. The  
375 depth has two formations, the Middle Permian Maokou and Lower Permian Qixia  
376 Formations. The pore pressure coefficients in the deep formations (Xiaoheba and  
377 Longmaxi formations) were higher than the pore pressure coefficient of 1.27.

378 The variation of pore pressure with depth in the Pengye 1 well is shown in Fig. 7. In  
379 this well, the data were found at 2072-2160 m depth and the pore pressure gradient was  
380 slightly higher than hydrostatic pressure but equal to the pore pressure coefficient of  
381 1.06. All the data were recorded in the Wufeng and Longmaxi shales (a zone of interest).

382 The formations were classified based on pore pressure coefficient criteria as presented  
383 by Hao et al. (2005); Wang et al. (2020) and the pore pressure depth plots. In the Nanye  
384 1 well, Lower Triassic Feixianguan, Upper Permian Changxing, Upper Permian  
385 Longtan, Middle Permian Maokou, and Lower Permian Qixia Formations were found  
386 at 1544.3-2570.2 m depth. These formations were normal to underpressured formations  
387 in the Nanchuan area. In the Pengye 1 well, the Lower Silurian Longmaxi and Upper  
388 Ordovician Wufeng Formations found at 2072-2160 m depth were termed as normal  
389 pressured formations in the SE of the Chongqing region. The stratigraphy shows the  
390 formations, which contained gas are Upper Permian Longtan, Middle Permian Maokou,  
391 Lower Silurian Longmaxi and Upper Ordovician Wufeng (Fig. 2). So, the normal to  
392 underpressured gas formations in the Nanchuan area were the Upper Permian Longtan  
393 and Middle Permian Maokou formations. The Lower Silurian Longmaxi and Upper  
394 Ordovician Wufeng formations were normal pressured gas formations in the SE of the  
395 Chongqing region.

396

#### 397 **4.3.The petroleum system**

398 To study the changes in temperature, maturity of organic matter and pore pressure, the  
399 basins were modelled. After calibration of the model, the results were obtained as  
400 shown in Fig. 8 and Fig. 9. Fig. 8 shows the petroleum system obtained after modelling  
401 the basin by using the data from Nanye 1 well (Nanchuan area). A Petroleum system  
402 includes all the geological elements and processes that are important for oil and gas  
403 deposition. The necessary components are source rock, migration pathways, reservoir,  
404 seal and trap (Fagelnour et al., 2019). The stratigraphic column of this basin ranges  
405 from the Palaeozoic Era (Ordovician period) to recent. The basin is distributed into  
406 fourteen lithostratigraphic sections namely, Leikoupo, Jialingjiang, Feixianguan,

407 Changxing Group, Longtan, Maokou, Qixia, Liangshan, Hanjiadian Group, Xiaoheba,  
408 Longmaxi, Wufeng, Linxiang and Pagoda units. Other formations are not shown in the  
409 figure due to the limitation of space. Fig. 9 shows the petroleum system of the SE  
410 Chongqing region modelled by the data from the Pengye 1 well. The petroleum system  
411 shows the important source rocks in the Sichuan Basin called Lower Silurian Longmaxi  
412 and Upper Ordovician Wufeng formations.

413

#### 414 **4.4. The effect of paleotemperature on the maturity of the organic matter in the** 415 **SE of the Sichuan Basin**

416 The simulation results show that the temperature of different formations was varying  
417 with time, as shown in Fig. 8. In the model, it was assumed that the formations have  
418 been formed since the Palaeozoic era when the surface temperature was 20<sup>0</sup>C. Since  
419 that time, there were a series of temperature changes due to organic matter deposition,  
420 erosion, and tectonic uplift. Due to the subsidence and deposition of organic matter, the  
421 burial depth increased, and the temperature raised to 225<sup>0</sup>C in the deep formations in  
422 the late Triassic. The tectonic uplift and erosion happened at the end of the Triassic  
423 (227-210 Ma) and caused the temperature of the formations to drop. In the early  
424 Cretaceous, the tectonic uplift happened again and caused the temperature to drop again.  
425 The same results are shown in Fig. 9 where the SE of the Chongqing region was  
426 modelled by using Pengye 1 well data. The subsidence of the basin caused the  
427 temperature to rise, and then the tectonic uplift and erosion reduced the temperature.

428 The thermal maturity of organic matter is shown in Fig. 10 by the vitrinite reflectance  
429 (Ro) for the formations of the Nanye 1 well. The figures show initially the vitrinite  
430 reflectance was 0.25%, and then it rose to 1.0% which remained constant in the whole  
431 Palaeozoic era (400 to 280 Ma). In the earlier Mesozoic (250 Ma), the vitrinite  
432 reflectance rapid increased and then increased gradually in the middle Mesozoic (150  
433 Ma) to the earlier Cenozoic era. According to Fagelnour et al. (2019), the value of  
434 vitrinite reflectance (Ro) reflects the maturity of organic matter. For example, when Ro  
435 is equal to 0.5%, the source rock is immature, or it is in the diagenesis period. When  
436 Ro range between 0.5 to 1% the source rock is in the oil window, which is the  
437 catagenesis process. Also, when Ro ranges from 1 to 2%, the source rock is in the gas  
438 window.

439 The results show that the temperature affected the thermal maturity of the organic  
440 matter in the SE Sichuan Basin. As shown in Fig. 10, the temperature rise caused the  
441 rise of Ro. Due to the rise of temperature from 100 to 130<sup>0</sup>C in the late Triassic period  
442 (240-226 Ma), the organic matter was converted to oil (Ro =1%). The continual rise of  
443 temperature caused cracking of oil to gas (The value of Ro was greater than 1%). The  
444 cracking of oil to gas caused much increase in the volume of fluid (gas) in the pore



445 space, which increased the pore pressure. The increase in temperature and pore pressure  
446 resulted in the development of overpressured formations. The curve of temperature and  
447 vitrinite reflectance are not parallel since the rise of temperature causes an increase in  
448 vitrinite reflectance but when the temperature decreases the vitrinite reflectance  
449 remains the same (Fig. 10 and Fig. 11).

450

451 In the southeast of the Chongqing region, the rise of temperature also caused the  
452 maturity of organic matter, as shown in Fig. 11. Initially, the Lower Silurian Longmaxi  
453 and Upper Ordovician Wufeng Formations were having low temperatures and the  
454 vitrinite reflectance was small. The rise of temperature caused the maturity of organic  
455 matter to the oil window ( $R_o = 1\%$ ) and then the cracking of oil to a gas window ( $R_o >$   
456  $1\%$ ). The cracking of oil to gas increased fluid volume in the pore space, and then the  
457 increase in pore pressure. Due to this process, the formation became overpressured.

458

#### 459 **4.5. The origins of underpressured formations in the SE of the Sichuan Basin**

460 The results reveal that underpressured formations in this basin originated from the  
461 overpressured formations. The overpressured formations were caused by rapid  
462 subsidence, increased temperature, and oil to gas cracking. The oil to gas cracking was  
463 the main cause of overpressure formations (Li et al., 2016). During the subsidence, the  
464 formations were buried at high depth, the increase in depth caused an increase in the  
465 vertical stress and then an increase in the pore pressure. The increase in temperature  
466 with depth caused an increase in the pore pressure. Also, the cracking of oil into gas  
467 caused an increase in the volume of gas, which increased pore pressure. But the results  
468 show that tectonic uplift and erosion occurred in the late Triassic and early Cretaceous.  
469 The tectonic uplift and erosion decreased the formations' temperature, caused pore  
470 volume resilience and enlarged a trap space, which decreased the formation pore  
471 pressure. The drop of formation pore pressures caused the development of  
472 underpressured formations, however, when the pore pressure was dropped less, other  
473 formations remained overpressured. Thus, the temperature decrease, pore volume  
474 resilience and enlarging of a trap space were the origins of underpressured formations,  
475 and these origins were influenced by tectonic uplift and erosion.

476 The effect of tectonic uplift which happened in 242 Ma in the Nanchuan region is shown  
477 in Fig. 12. The figure shows that in 227 Ma, Upper Permian Longtan and Middle  
478 Permian Maokou formations were overpressured formations. But the tectonic activities  
479 caused uplift of the Upper Permian Longtan and Middle Permian Maokou Formations  
480 from a depth between 4900 and 5488 m, respectively as shown in Fig. 12, a to a depth  
481 between 1904 and 2500 m as shown in Fig. 12, d. Due to the uplifting of the formations,



482 the temperature decreased, trap space was enlarged, and pore volume increased, which  
 483 resulted in a pore pressure decrease. The decrease of pore pressure resulted in the  
 484 underpressured Upper Permian Longtan and Middle Permian Maokou Formations,  
 485 which were initially overpressure formations. The evolution of pore pressure in these  
 486 formations is seen in Fig. 12 whereby initially the formations were overpressured but  
 487 when it reached 135 Ma the pore pressure was highly reduced, in 32.66 Ma the pore  
 488 pressure continued to decrease and resulted in current underpressured formations as  
 489 shown in Fig. 12,d.

490

#### 491 **4.6.The influence of tectonic uplift and erosion on the formation pore pressure** 492 **by a mathematical model**

493 The tectonic uplift and erosion of the rock strata cause a decrease in vertical in-situ  
 494 stress. The decrease of vertical in-situ stress causes the rebound of the rock matrix. The  
 495 rebound of the rock matrix causes an increase in the pore volume, which in turn  
 496 decreases the pore pressure. The decrease of pore pressure due to pore rebound ( $\Delta P_p$ )  
 497 which is influenced by the tectonic uplift and erosion was evaluated by using the first  
 498 part of Equation (7).

499 The modification of the second part (the part inside the square brackets) of Equation (7)  
 500 is shown in Equation (8) (Hao et al., 2011).

$$\Delta P_t = \frac{\alpha_f G}{1000(\beta_f + \beta_r)} \Delta h \quad (8)$$

$$\beta_r = \frac{3(1 - 2 \nu)}{E} \left( \frac{4(1 - \nu^2)}{3\pi(1 - 2 \nu)\epsilon} - 1 \right) \quad (9)$$

501 Whereby,  $\Delta h$ : erosion thickness,  $G$ : geothermal gradient that ranges from 17.7 to 33.3  
 502 °C/km in the Sichuan Basin. The formation was assumed to contain formation water, so  
 503 the liquid expansion coefficient ( $\alpha_f$ ) was  $5.00 \times 10^{-4} \text{K}^{-1}$  (Xu et al., 2010). The value of  
 504 liquid compression coefficient ( $\beta_f$ ) is  $0.0005 \text{MPa}^{-1}$  and the average density of rock in  
 505 these formations is  $2.67 \text{ t/m}^3$  (Xu et al., 2010). The value of pore volume  
 506 compressibility ( $\beta_r$ ) was found by using Equation (9) as suggested by Zhang et al.  
 507 (2015). The value of Poisson's ratio ( $\nu$ ) ranged from 0.26 to 0.28 (Wang et al., 2017),  
 508 hence the average value (0.27) was used in this study.  $E$  is the young modulus which  
 509 ranges from 5-19 GPa for rock found in the SE of the Sichuan Basin and  $\epsilon$  is the aspect  
 510 ratio which ranges from 1.85:1 and 2.39:1 (Wang et al., 2017).

511 Also, the tectonic uplift and erosion raised the formations to a shallow depth, which  
 512 reduced the formation temperature. The decrease of temperature in the strata reduces  
 513 fluid pressure. The influence of temperature on the formation pore pressure was

514 evaluated by using Equation (8) which is the modification of the second part of  
515 Equation (7).

516 The analysis of pore pressure drop considered that the formation contains only water.  
517 Table 3 shows the results of the pressure drops in different formations in the Nanchuan  
518 area. The decrease of pore pressure evaluated from the model due to a decrease in  
519 temperature varies from 29.84 MPa in the Middle Triassic Jialingjiang formation to  
520 41.71 MPa in the Upper Permian Longtan formation. The pore pressure drops due to  
521 pore rebound vary from 3.51 MPa in the Middle Triassic Jialingjiang formation to 4.91  
522 MPa in the Upper Permian Longtan formation. These two factors contribute to a  
523 maximum total pore pressure drop of 46.62 MPa, which results in the occurrence of  
524 underpressured formations.

525 The pore pressure drops evaluated by the mathematical models relate to the pore  
526 pressure evolution from the basin modelling, which is shown in Fig. 12. For example,  
527 the Upper Permian Longtan Formation had a maximum formation pore pressure of 65  
528 MPa in 210 Ma when the formation was at a depth of 4344 m (Fig.12, b). The tectonic  
529 uplift and erosion caused the formation to rise to a depth of 1878 m and the formation  
530 pore pressure to decrease to 17 MPa (Fig.12, d). So, the pore pressure drop in the  
531 formation was 48 MPa, which is 1.38 MPa higher than that evaluated from the  
532 mathematical models. Other factors including escape of the gas through the faults and  
533 groundwater movement can be a reason for a 1.38 MPa pore pressure drop. So, these  
534 results match with Xu et al. (2008); Xu et al. (2010). These authors mentioned tectonic  
535 uplift and erosion as the factor influencing underpressured formations. Also, the results  
536 relate to the results found by Hao et al. (2011), where the total pore pressure drop due  
537 to porous rebound and temperature decrease ranged from 30.16 to 39.31 MPa. The  
538 thickness of lithology eroded in that work is less than the ones found in this work. That  
539 can be one of the reasons for the difference in pore pressure drops.

540

541 Through basin modelling and mathematical model, it was found that the underpressure  
542 in the formations is caused by pore rebounded and temperature decrease. These two  
543 factors are caused by tectonic uplift and erosion. These results agreed with other works  
544 which were conducted by Hao et al. (2011); Nelson (2012); Wang et al. (2020); Xie et  
545 al. (2003) and Duan and Wu (2020). These works stated the possible causes of  
546 underpressured formations as pore rebound and temperature reduction which were  
547 mainly caused by tectonic uplift and erosion as shown in Table 4.

548

549 **4.7.Existence of faults system and their role in the underpressure development**  
550 **in the Nanchuan area and Southeast of Chongqing region**

551 The SE Sichuan Basin especially at the southern margin formed when the blocks were  
552 divided due to the presence of fault systems in the region (Zhao and Coe, 1987). The  
553 other parts are the western and northern corners, as well as the eastern and western  
554 margins. Active tectonics in the Sichuan Basin developed numerous major and minor  
555 faults throughout the region (Molnar and Dayem, 2010). Among the major faults in the  
556 region are the Daba Shan, Longmen Shan, East Yangtze fold belt and Xianshuihe-  
557 Xiaojiang fault (XXF), which are found in the north, northwest, southeast and  
558 southwest, respectively (Fig. 13). The occurrence of faults in the basin was caused by  
559 different tectonic activities. The occurrences of the Longmen Shan fault in the Southern  
560 Sichuan Basin were associated with two tectonic events. The first one occurred in the  
561 Late Triassic and caused convergence between the Yangtze block and the Songpan  
562 Ganzi belt. The second event occurred in the Cenozoic and resulted in the convergence  
563 between the Tibetan plateau and the Sichuan Basin. The XXF is Sichuan's most active  
564 Cenozoic structure, causing several earthquakes with magnitudes greater than M 7.0  
565 (Wang et al., 2014; Wang et al., 2016; WANG et al., 2008). Apart from the boundary  
566 faults, the Sichuan Basin has several active and basement faults. The active and  
567 basement faults found in the Sichuan Basin are shown in Fig. 14.

568

#### 569 **4.8. The roles of faults in the occurrence of normal and underpressured** 570 **formations**

571 In this region, faults served three purposes: partitioning the region into various  
572 compartments, each with its pore pressure system, acting as conduits for gas migration  
573 and pore pressure preservation in the basin (Fan et al., 2016). In its first role, the faults  
574 divided the basin into different regions, which are the western and northern corners and  
575 southern and eastern margins (Wang et al., 2014). These regions are separated by faults  
576 that are filled with fine materials, hence the pore pressure system differs from one  
577 region to another. The Nanye 1 well in the Nanchuan area and the Pengye 1 well in the  
578 SE of Chongqing region are separated by faults, one of them is the Hubei-Guizhou  
579 Hunan thrust fault. The existence of the faults is revealed in Fig. 15 whereby the Lower  
580 Silurian Longmaxi formation in the southeast of Chongqing region (Pengye 1 well) is  
581 found at 2072-2160 m depth and the Lower Silurian Longmaxi formation in the  
582 Nanchuan area (Nanye 1 well) is found at the depth between 4405 and 4410 m. Also,  
583 the pore pressure of these formations is different, the pore pressure coefficient of Lower  
584 Silurian Longmaxi formation in the Nanye 1 well is 1.4 whereas the pore pressure  
585 coefficient of Lower Silurian Longmaxi formation in the Pengye 1 well is 1.06. The  
586 Lower Silurian Longmaxi formations have different locations, although these  
587 formations were formed at the same time in the same condition. So, the faults in this  
588 region divided the Lower Silurian Longmaxi Formation into two compartments and  
589 then engineered the difference in the pore pressure system.

590

591 The second role played by the faults in the SE Sichuan Basin was the migration and  
592 leaking of the gas from the shale formations, as shown in Fig. 16. According to Zhang  
593 et al. (2018) during the Late Cretaceous to Palaeogene the fault-opening events  
594 occurred in the Sichuan Basin. Also, the development of the deep faults which  
595 penetrated to about 4000-6000 m depth in the SE of the Chongqing region happened  
596 (Fig. 16). The active and deep faults in the Pengshui Area caused the rapid migration  
597 of the pore fluid (gas) from the formation to the deep layers. This shale gas leaking  
598 reduced the volume of the fluid in the pore and then reduced the pore pressure. This  
599 mechanism contributed to the occurrences of underpressured formations in this region.

600

601 The third role played by the faults occurred when the active movement of faults ceased,  
602 and the faults became static. The static fault was filled with rock materials with low  
603 permeability like evaporites, siltstone and mud, and acted as a barrier to the fluid  
604 movement (Ann et al., 2005; Colin, 1987). So, static faults cut the communication  
605 between the underpressured formation and the rest formations. In limiting the  
606 communication, the formation maintained its state of low pore pressure.

#### 607 **4.9. The effect of the seal rocks**

608 According to Al-Shaieb et al. (1994); Surdam et al. (1994); Whelan et al. (1994) one of  
609 the important factors in the formation of abnormal pressure in the reservoirs is the  
610 presence of seal rock. Seal rocks have low porosity and permeability that limit the  
611 communication between formations. The seal rock limits the fluids in the formation to  
612 communicate with fluids from other formations. The seal plays the role of preventing  
613 pore pressure interaction in different formations. The presence of seal rocks in the  
614 Nanchuan area in the SE Sichuan Basin helped in the conservation of pore pressure in  
615 different formations. The low pore pressures in the underpressured formations were  
616 preserved by the lateral seal rocks, labelled as seal 1 and seal 2 in Fig. 17. The data  
617 shows that the lithology of seal 1 is mainly argillaceous limestone and the porosity of  
618 this seal rock is 1%. Due to the low porosity of this layer, the fluid incurred difficulty  
619 in migrating to the upper layer, thus the formation pore pressure was conserved. The  
620 lithology of seal 2 was mainly lime mudstone with a porosity of 2.5% as reported by  
621 Sinopec East China Petroleum Engineering Co., Ltd (the exploration company). This  
622 seal limited the communication of underpressured formations with the overpressured  
623 formations.

624

#### 625 **4.10. The implication of normal to underpressured formations**

626 The reservoir needs enough energy to push reservoir fluid to the surface. The main  
627 source of energy in the reservoir is the reservoir pore pressure. Since the identified  
628 normal to underpressured formations have low formation pore pressure, then they will  
629 face difficulty in pushing the reservoir fluid to the surface. The results will be earlier

630 depletion of the hydrocarbon formations and low overall production of the reservoirs.  
631 All these factors will affect the economy of the production company. Hence, this report  
632 provides knowledge beneficial to reservoir and production engineers. This knowledge  
633 directs the engineers to study the methods of boosting the reservoir pore pressure or  
634 modifying the system to increase the field life and overall production.

635 So, the requirement of pumping effect includes the installation of a compressor in the  
636 wellbore, boosting the reservoir pore pressure by either water and/or gas flooding or  
637 reducing the separator pressure by the installation of a Low-pressure production system  
638 (LPS) as suggested by Thiruthonder and Jothy (2016) and Abd Aziz et al. (2009) are  
639 needed to overcome that difficultness.

#### 640 **4.11. Limitations of this study**

641 This work is conducted only in the SE of the Sichuan Basin and only three wells were  
642 studied. Due to this limitation, we suggest researchers to study the underpressured  
643 formations and their origins in other basins in the world such as the Persian Gulf, West  
644 Siberia, Volga-Ural, Timan-Barents Sea, Mexican and Mediterranean Basins. But also,  
645 more data from many wells and seismic data have to be considered.

#### 646 **5. Conclusions**

647 The Sichuan Basin has great potential for natural gas, which is estimated to be 626  
648 trillion cubic feet (Tcf) of technically recoverable shale gas resources. Many fields in  
649 the Sichuan Basin are termed "overpressured formations", but few wells and E&P of  
650 shale gas in the SE Sichuan Basin show the availability of normal pressured and  
651 underpressured formations. To identify normal and underpressured formations in the  
652 SE of the Sichuan Basin, we used the pore pressure estimation method. Whereas to  
653 analyse their origins, we use basin modelling, investigating the effect of tectonic uplift  
654 and erosion (by mathematical model), and also studying the effect of the fault system.  
655 From this work, the following conclusions were drawn:

- 656 1. This study identifies the Upper Permian Longtan and Middle Permian Maokou  
657 Formations in the Nanchuan area in the SE Sichuan Basin, as normal to  
658 underpressured formations with significant natural gas potential. Also, the study  
659 identifies the Lower Silurian Longmaxi and Upper Ordovician Wufeng  
660 Formations in the Pengshui Area, SE of the Chongqing region, as normal  
661 pressured formations with significant shale gas potential.
- 662 2. The main origins of underpressured formations identified in this work were  
663 temperature decrease, the rebound of the rock porosity and migration of the gas  
664 from the formation through the faults. The pore pressure drops contributed by  
665 temperature decrease and pore rebound were up to 41.71 and 3.51 MPa,  
666 respectively. These origins were mainly influenced by the tectonic uplift and  
667 erosion.

668 3. Another factor that played a greater role in the formation of underpressured  
669 formations was the presence of a faults system in the southeast margin of the  
670 Sichuan Basin. The faults served three roles: partitioning the region into various  
671 compartments, each with its pore pressure system, acting as conduits for gas  
672 migration and leaking and decrease in reservoir pore pressure. The fault seals  
673 preserved the developed low pore pressure in the formations. Also, the lateral  
674 rocks, above and below the underpressured formations, were having very tight  
675 properties of permeability and porosity (porosity was less or equal to 2.5%). So,  
676 the lateral rocks acted as a barrier to fluid movement, which resulted in low pore  
677 pressure preservation.

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Table 1: The classification of formations based on pore pressure coefficient (Hao et al., 2005; Wang et al., 2020)

Pressure coefficient ( $\alpha$ )	Classification
<0.8	Strong underpressure
0.8–0.96	Underpressure
0.96–1.06	Normal pressure
1.06–1.27	Weak overpressure
1.27–1.73	Overpressure
>1.73	Strong overpressure

Table 2: Average pore pressure coefficients for different wells in SE of Sichuan Basin

<b>Wells</b>	<b>Average pore pressure coefficients</b>
Pengye 1	1
Shengye 1	1.2
Nanye 1	1.37
Jiaoye 194-3	1.35
Longye 1	1.3
Shengye 3	1.2
Jiaoye 10-10	1.2



Table 3: The pore pressure drops caused by the decrease in temperature and rebound of the rock porosity obtained from the mathematical model in the Nanye 1 well

Formations	The erosion thickness (m)	Pore pressure drops due to temperature (MPa)	Pore pressure drops due to the rebound of the rock porosity (MPa)	Total pore pressure drops (MPa)
Jialingjiang Formation	1917	29.84	3.51	33.35
Feixianguan Formation	2459	38.27	4.51	42.78
Changxing Group	2395	37.28	4.39	41.67
Longtan Formation	2680	41.71	4.91	46.62
Maokou Formation	2400	37.35	4.40	41.75
Qixia Formation	2666	41.50	4.89	46.38
Hanjiadian Group	2480	38.60	4.54	43.14
Xiaoheba Formation	2347	36.53	4.30	40.83
Longmaxi Formation	2655	41.32	4.87	46.19

Table 4: Findings of the main factors contributing to underpressured formations in some fields and Basins

<b>Underpressured Basins/field/formation</b>	<b>Methods used</b>	<b>Findings on the origins of underpressured formations</b>	<b>Citation</b>
Huatugou Oilfield of Qaidam Basin	Mathematical models and extensive study of literature	Tectonic uplift and erosion caused pore rebound and temperature decrease. The impact of these factors is a total pore pressure drop of 30.16 to 39.31 MPa.	Hao et al. (2011)
Huimin Depression, Bohai Bay Basin, China	Pressure measurement, well logs response, seismic data evaluation, mathematical models and basin modelling	The rock dilation and temperature reduction caused pressure reductions of 3–4 and 1–3 MPa, respectively.	Wang et al. (2020)
Anadarko Basin	Basin modelling	Uplift and erosion during the Late Tertiary caused underpressured formations in the Anadarko Basin.	Nelson (2012)
Sulige gas field, Ordos Basin	Mathematical models and basin modelling	The pore rebound and temperature decrease caused by intense tectonic uplift after the late period of Early Cretaceous, caused the pore pressure of the Sulige gas field to reduce by 0.673 MPa and 23.08% of the original strata pressure, respectively.	Hao et al. (2012)
Songliao basin, China	Numerical simulation of pressure evolution.	Uplift and erosion, as well as reduction of geothermal gradients. These factors lead to significantly underpressured at about 50–80% of hydrostatic pressure.	Xie et al. (2003)
Ordos Basin, China	Mathematical models and basin modelling	Strong uplift for a long time caused increases in the eroded stratum thickness and temperature decrease in the reservoirs. These factors caused the formation pressure to be reduced to a pressure coefficient of 0.63 to 0.86.	Duan and Wu (2020)

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Sinian– Silurian natural gas reservoirs in the Sichuan Basin	Basin modelling and mathematical models.	The tectonic uplift and erosion decrease the temperature and the decrease in temperature causes the decrease in pore pressure (2.3% of the pressure is decreased for every 10 <sup>0</sup> C decrease in temperature).	Liu et al. (2014)
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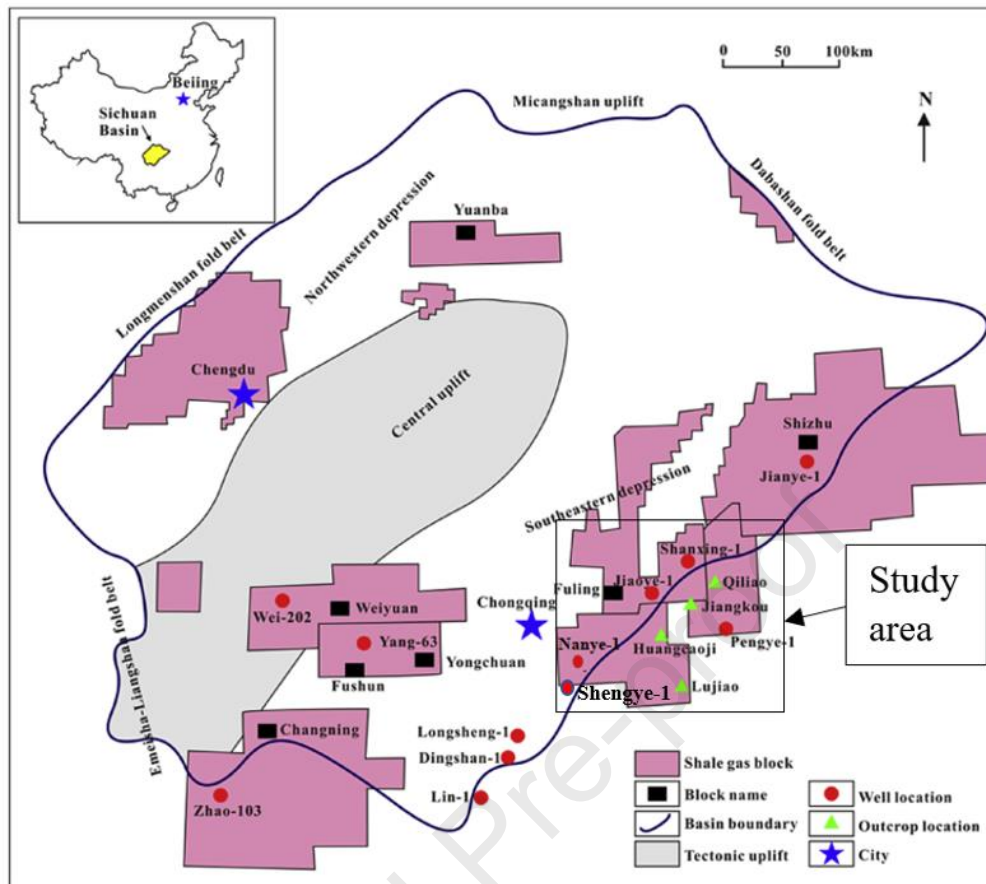


Fig. 1: The location of the Sichuan Basin, its wells, and shale blocks (Modified from Guo (2019); Jiang et al. (2017))

Stratigraphy		Lithology	Thickness (m)	Ages (Ma)	Tectonic Movement		Source Rock
Quaternary		Q	0-380	2.6	Himalayan	Late Himalayan	
Neogene		N	0-300	23		Early Himalayan	
Paleogene		E	0-800	65			
Cretaceous		K <sub>2</sub>	0-2000	96	Yanshanian	Middle Yanshanian	
	Jurassic		J <sub>3p</sub>	650-1400			
		J <sub>2s</sub>	340-500	152			
		J <sub>2sh</sub>	600-2800	180			
Triassic		J <sub>1z</sub>	200-900	205	Indonesian	Late Indonesian	
		T <sub>3x</sub>	250-3000	227		Early Indonesian	
	Leikoupo Fm	T <sub>2l</sub>	0-1400	241			
	Jialingjiang Fm	T <sub>1j</sub>	570-960				
Permian		T <sub>1f</sub>	400-600	250	Dongwu		Longtan-Moukou Source rock
	Changxing Fm	P <sub>2cb</sub>	50-200	253			
	Longtan Fm	P <sub>2l</sub>	50-200	257			
	Maokou Fm	P <sub>1m</sub>	200-300				
	Qixia Fm	P <sub>1q</sub>	100-150				
Carboniferous		P <sub>1</sub>	10	275	Yunnan		
Silurian		C <sub>3h</sub>	10-30	410	Caledonian	Coledonian	Longtan-Moukou Source rock
	Hanjiadian Fm	S <sub>2h</sub>	50				
	Xiaoheba Fm	S <sub>1s</sub>	240-500				
Ordovician		S <sub>1l</sub>	180-270	438	Caledonian		Longmaxi-Wufeng Shale
	Longmaxi Fm	O <sub>3m</sub>	7				
	Wufeng Fm	O <sub>2s</sub>	15				
	Lingxiang Fm	O <sub>1s</sub>	11				
Cambrian		Pagoda Fm	450	510	Caledonian		Qiongzhusi Source rock
		O <sub>2b</sub>	220-420				
			70-200				
Sinian			150-200	540	Yangtze	Tongwan	
			650-1420			Chengjiang	
Presinian			260-1400	850	Jingning		
			<6000				

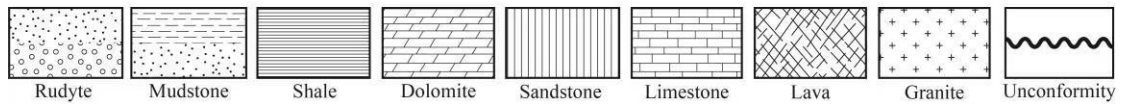


Fig. 2: The stratigraphy of the SE Sichuan basin (Modified from (Cao et al., 2020))

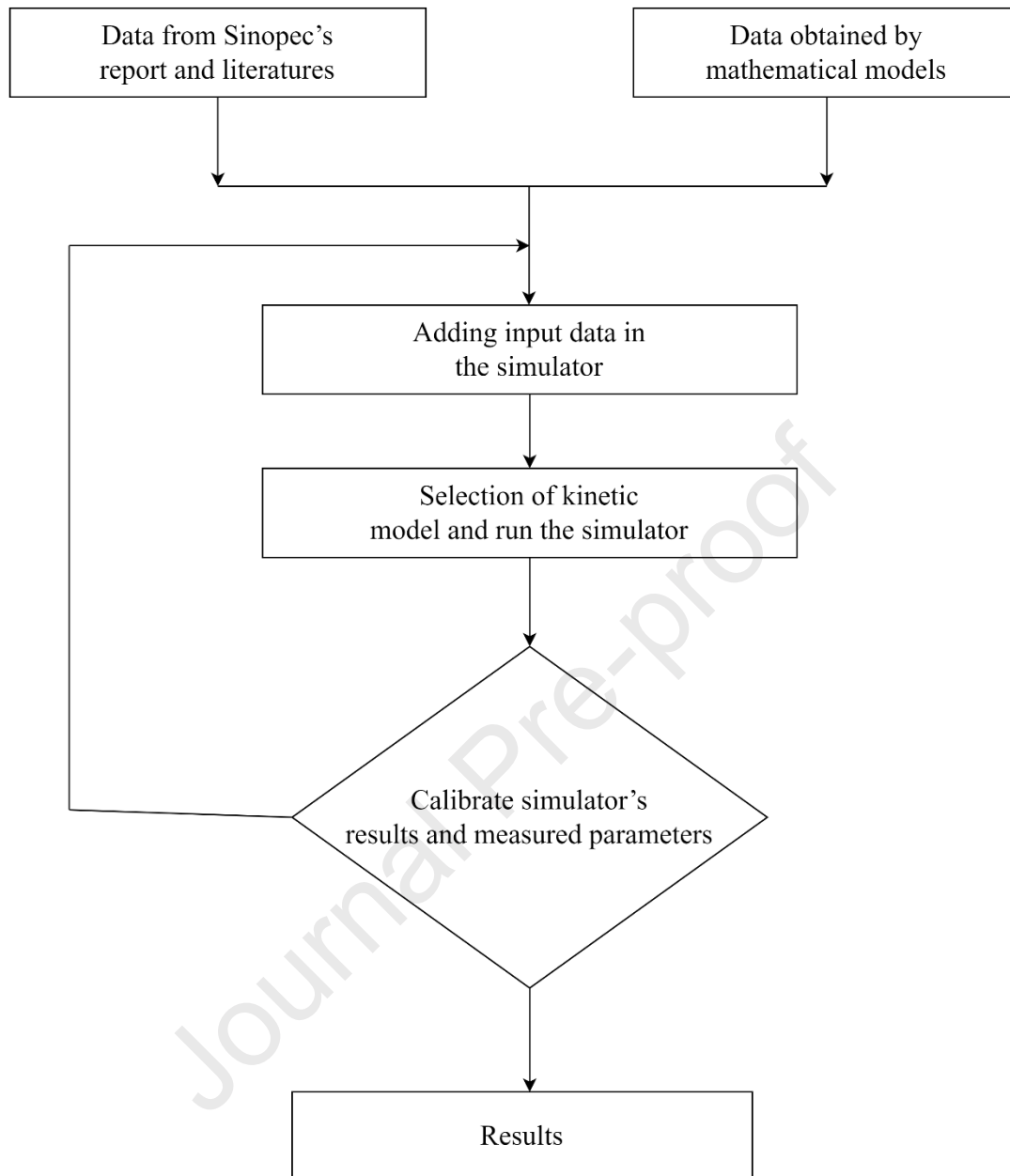


Fig. 3: The procedures followed in modelling the SE Sichuan Basin by PetroMod 1D simulator

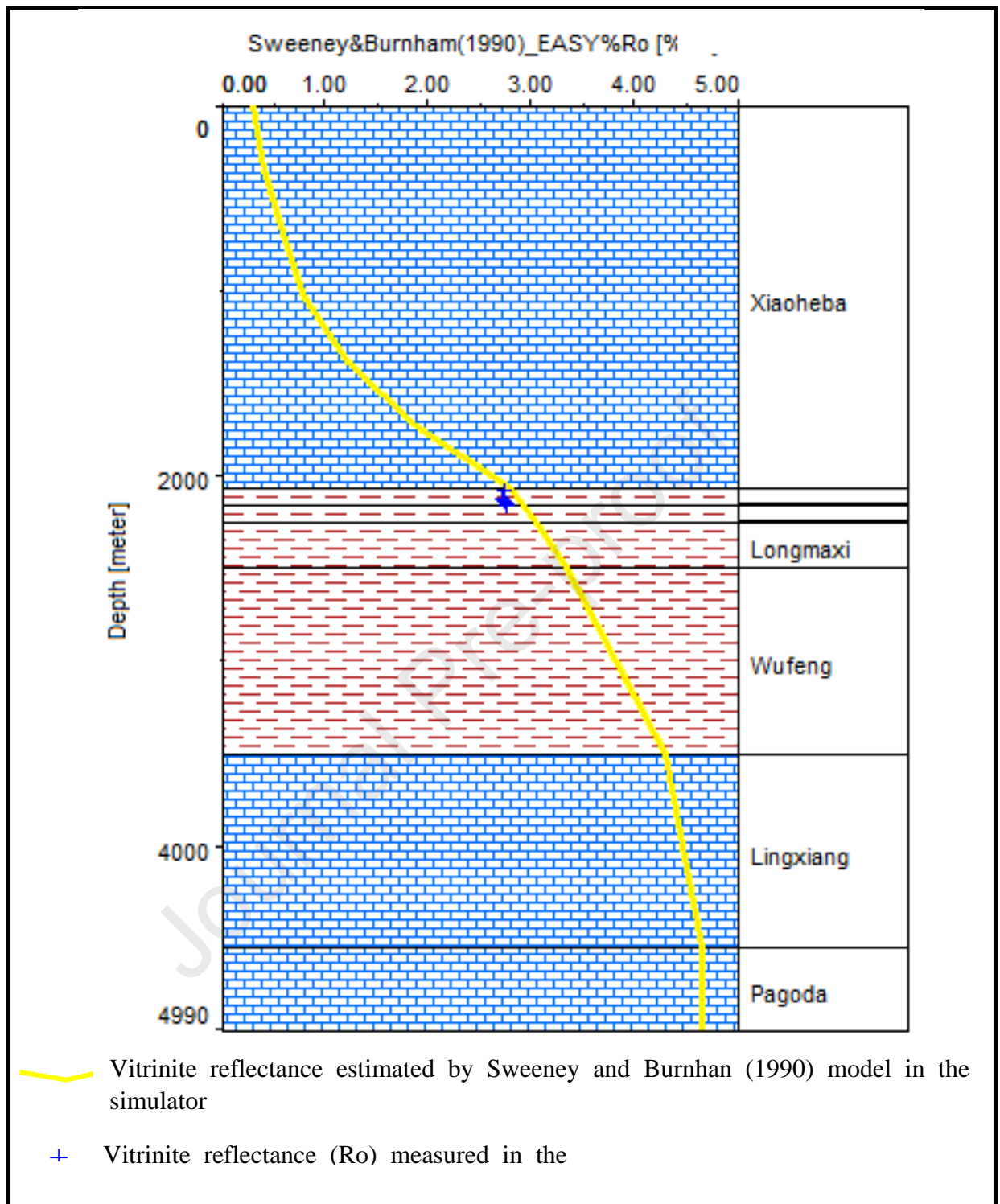


Fig. 4: The calibration of the model by using vitrinite reflectance (Ro), the Ro measured in the Pengye 1 well (blue plus) is very close to the line of Ro obtained from the simulator. The Ro in the well was measured at 2081-2153.42 m depth in the source rocks



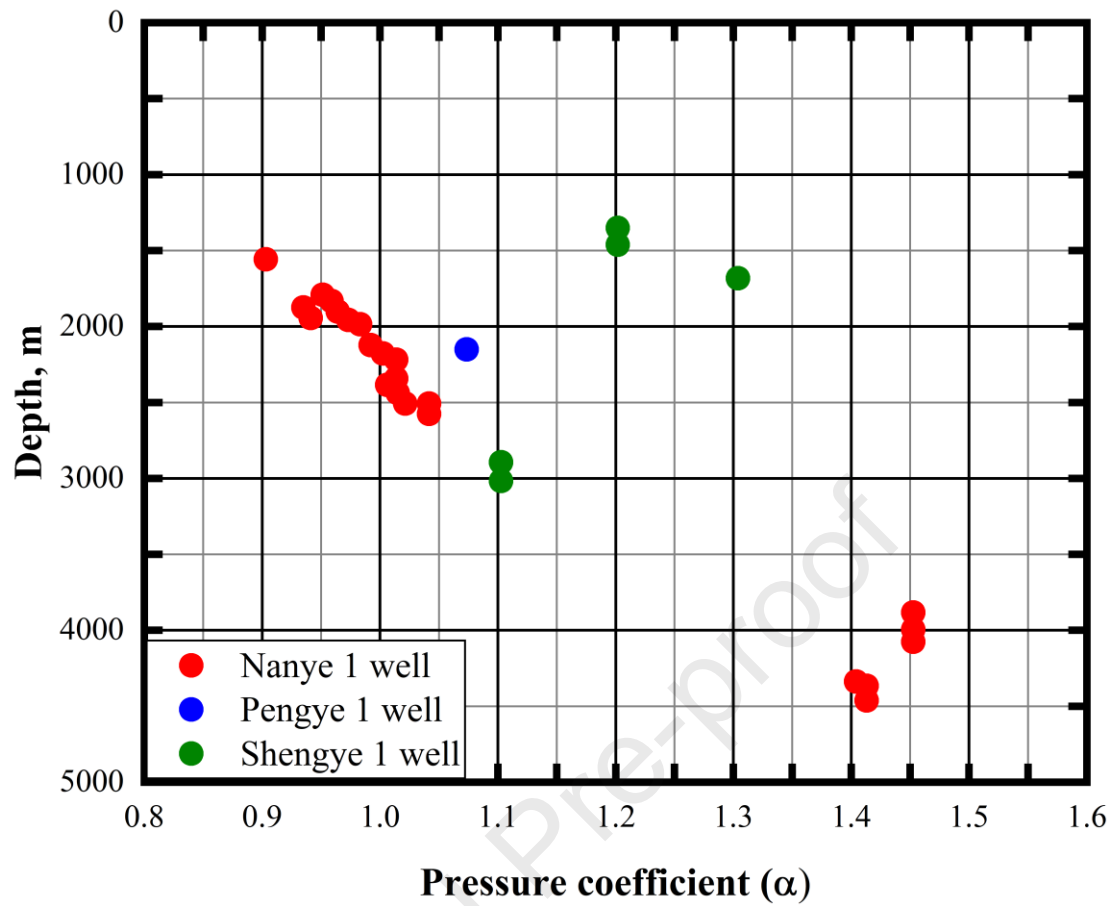


Fig. 5: Distribution of pore pressure coefficient ( $\alpha$ ) for Shengye 1, Nanye 1 and Pengye 1 wells found in the Chongqing region in the SE Sichuan basin

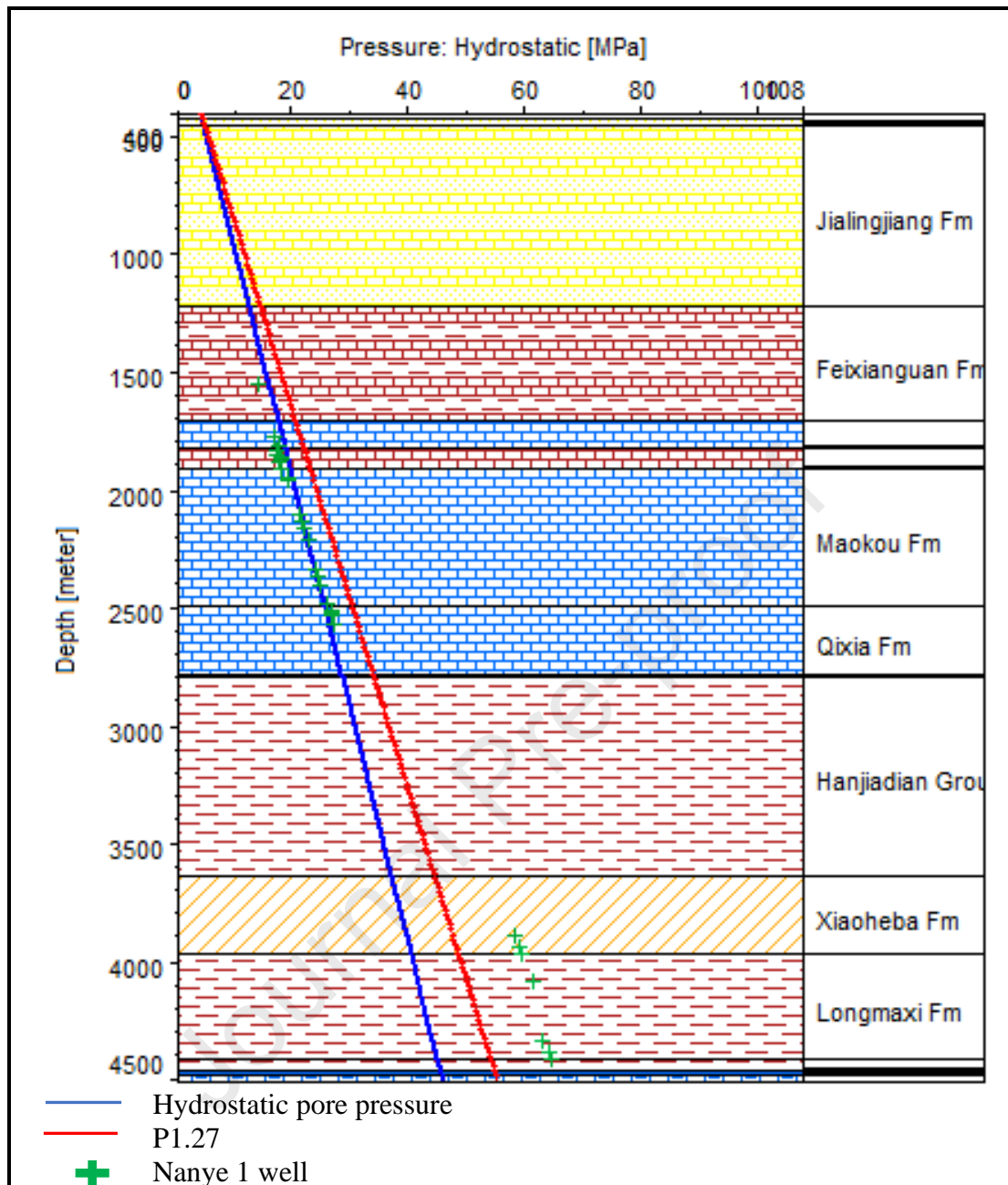


Fig. 6: The pore pressure depth plot showing the pore pressure of Nanye 1 well (represented by a green cross) when compared to the hydrostatic pore pressure (blue line) and line with a pore pressure coefficient of 1.27 (red line)

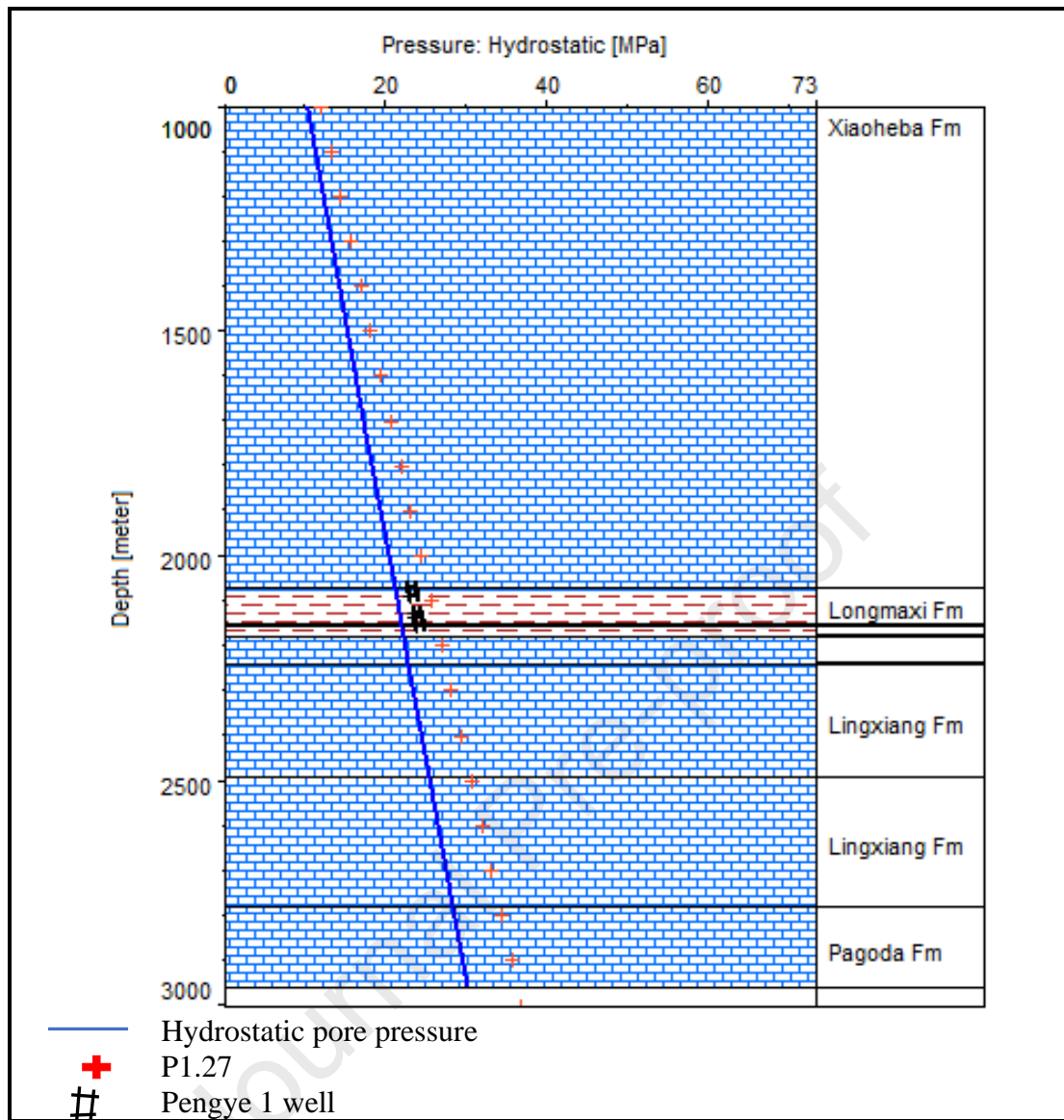


Fig. 7: The variation of pore pressure with depth in the Pengye 1 well, the black markers represent the pore pressure in the Pengye 1 well at a depth between 2072 and 2160 m (Zone of interest), the blue line represents the hydrostatic pore pressure and red cross represent the region with pore pressure coefficient of 1.27.

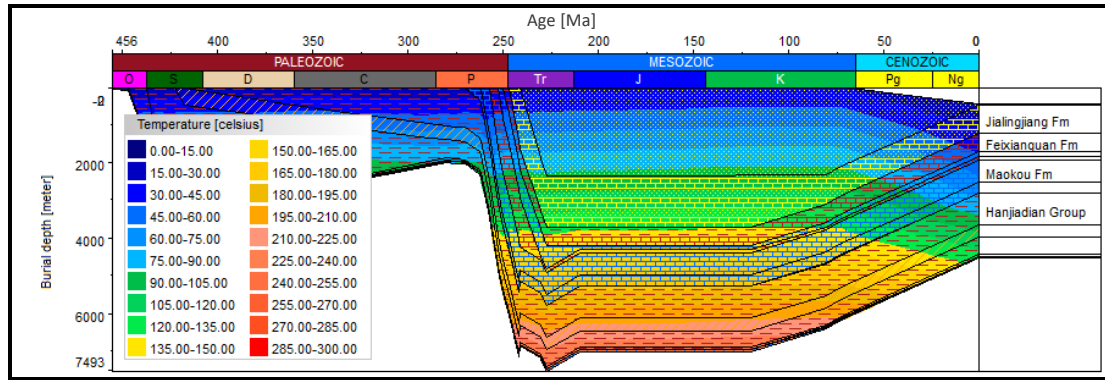


Fig. 8: The petroleum system of the Nanchuan area showing the formations at each depth, the colour in the figure represents the temperature evolution

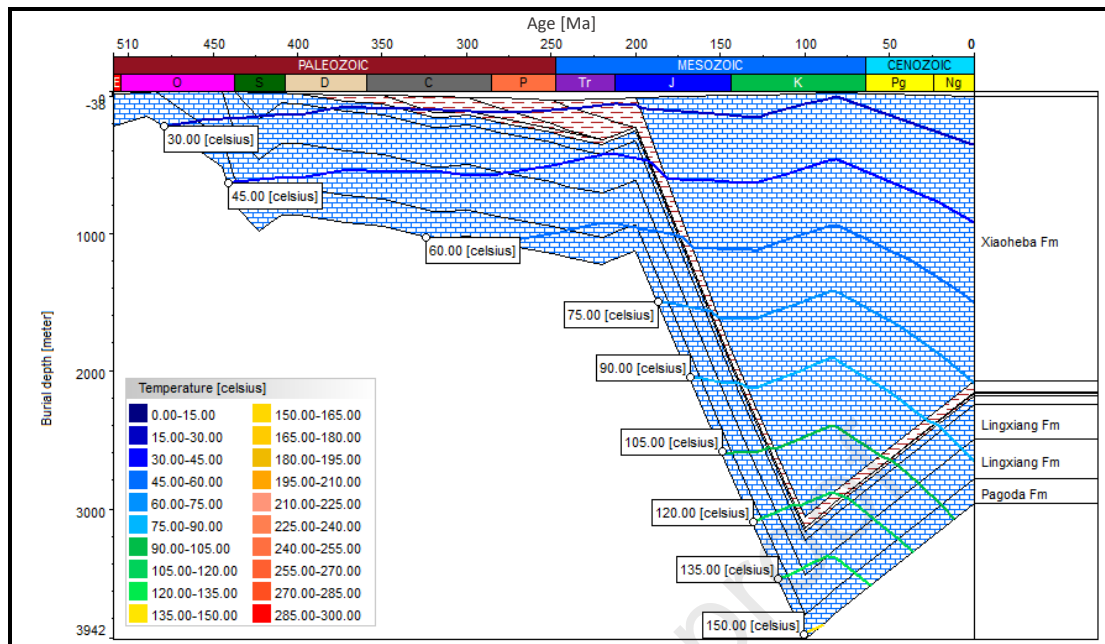


Fig. 9: The petroleum system of the Southeast of Chongqing region obtained from the Pengye 1 well data, the lithology of the Lower Silurian Longmaxi and Upper Ordovician Wufeng formations is shale whereas the remained formations are limestone

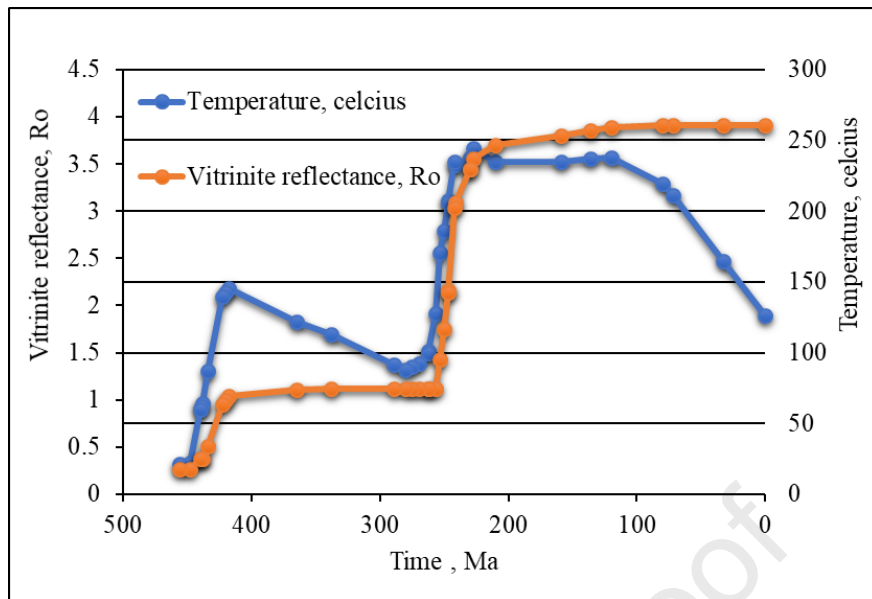


Fig. 10: The history of temperature changes and hydrocarbon maturity in the shale formations of the Nanchuan area

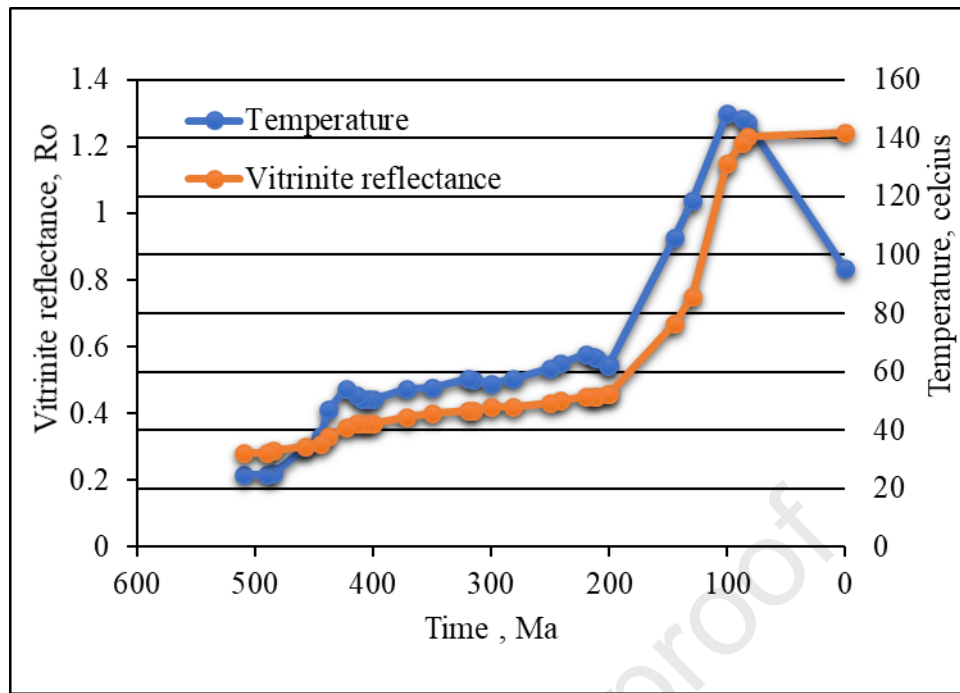


Fig. 11: The relationship of temperature and vitrinite reflectance of Lower Silurian Longmaxi and Upper Ordovician Wufeng Formations in the SE of the Chongqing region



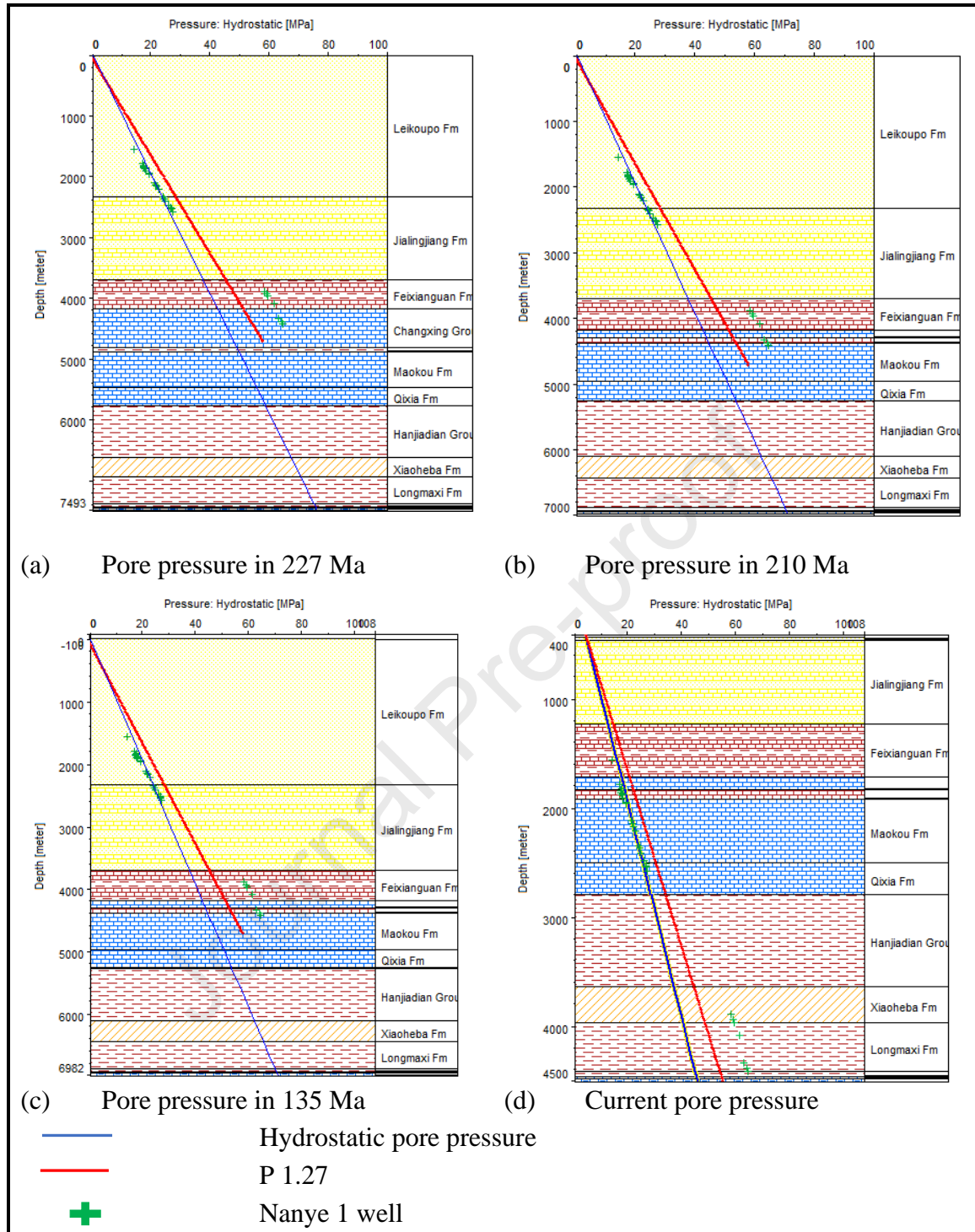


Fig. 12: Pore pressure evolution in the SE of Sichuan Basin, the green data represent the pore pressure in the Nanye 1 well, the red line represents the pore pressure coefficient of 1.27 (above this coefficient the region is overpressured), the blue line represents the **hydrostatic pore pressure**

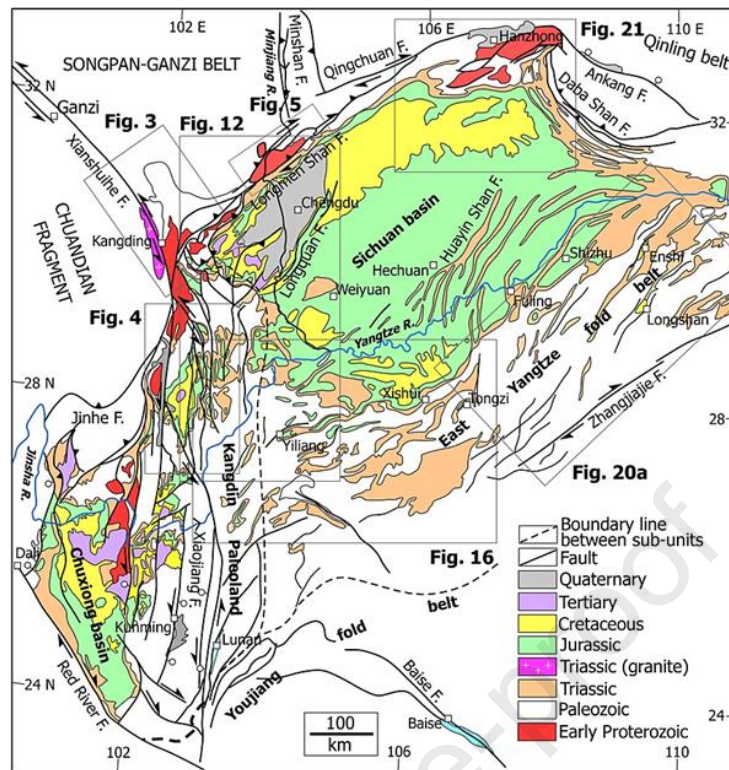


Fig. 13: A geologic map showing the major faults system (boundary faults) found in the Sichuan basin (Wang et al., 2014)

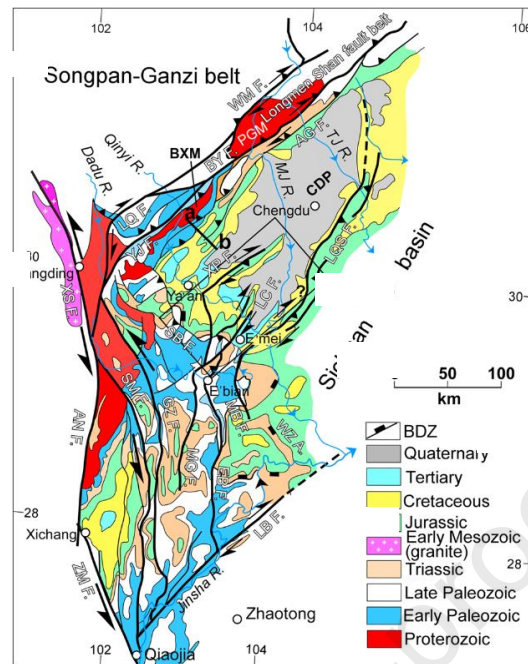


Fig. 14: The active and basement faults in the Sichuan Basin, changing banbienshan fault (CB-F), fangdoushan fault (FDS-F), lianfeng fault (LF-F), longquanshan fault (LQS-F), pujiang-xinjin fault (PX-F), yingjing mabian yajin fault (YMY-F), zhaotong fault (ZT-F), yingjing mabian yajin (YMY), changing (CN), leibo (LB), leshan (LS), mabian (MB), qianwei (QW), rongchang (RX), suining (SN), tongjing (TJ), tongnan (TN), wulong (WL), wiyuan (WY), xingwen (XW), yibin (YB) and yanjin (YJ) as indicated in the figure (Lei et al., 2020; Wang et al., 2014)

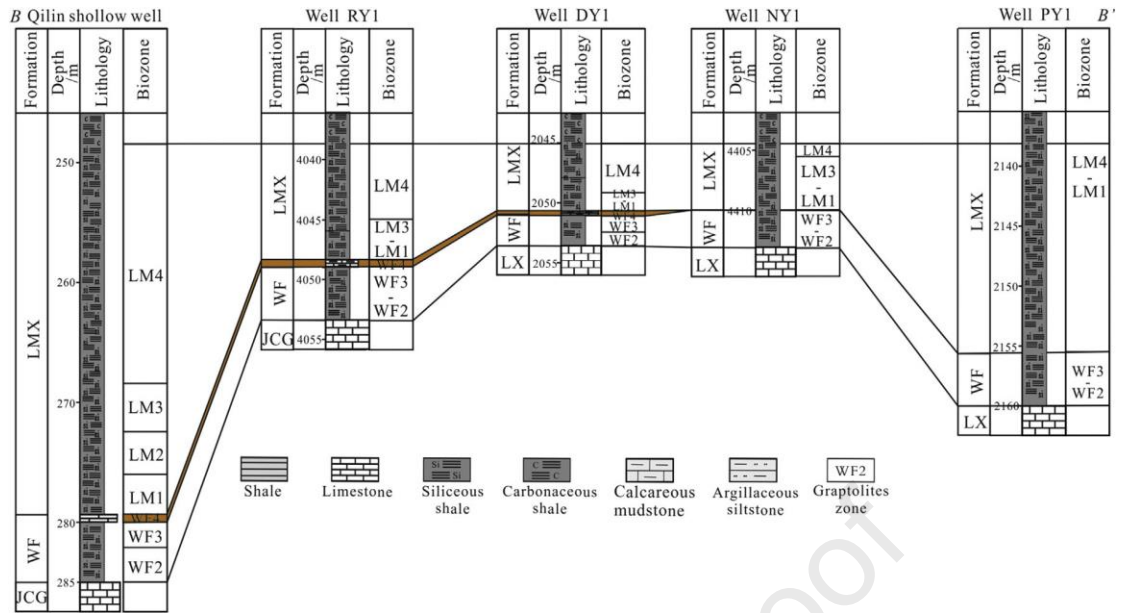


Fig. 15: The depth of Longmaxi (LMX) and Wufeng (WF) Formations in the Nanye 1 (NY 1) and Pengye 1 (PY 1) well, respectively, in the Southeastern Sichuan basin (Nie et al., 2017)

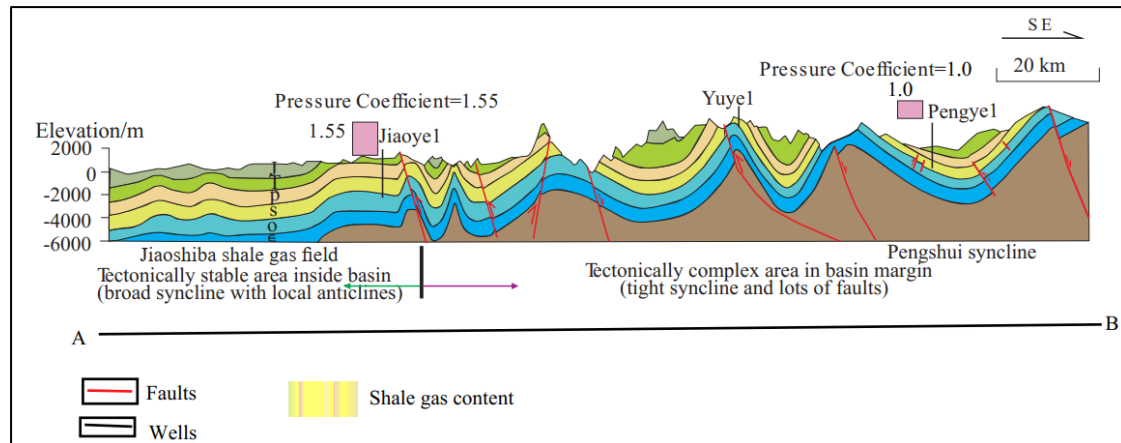


Fig. 16: Cross-section A-B of Fig. 1 shows the faults found in the Pengshui Area, SE of the Chongqing region (Zhang et al., 2018)

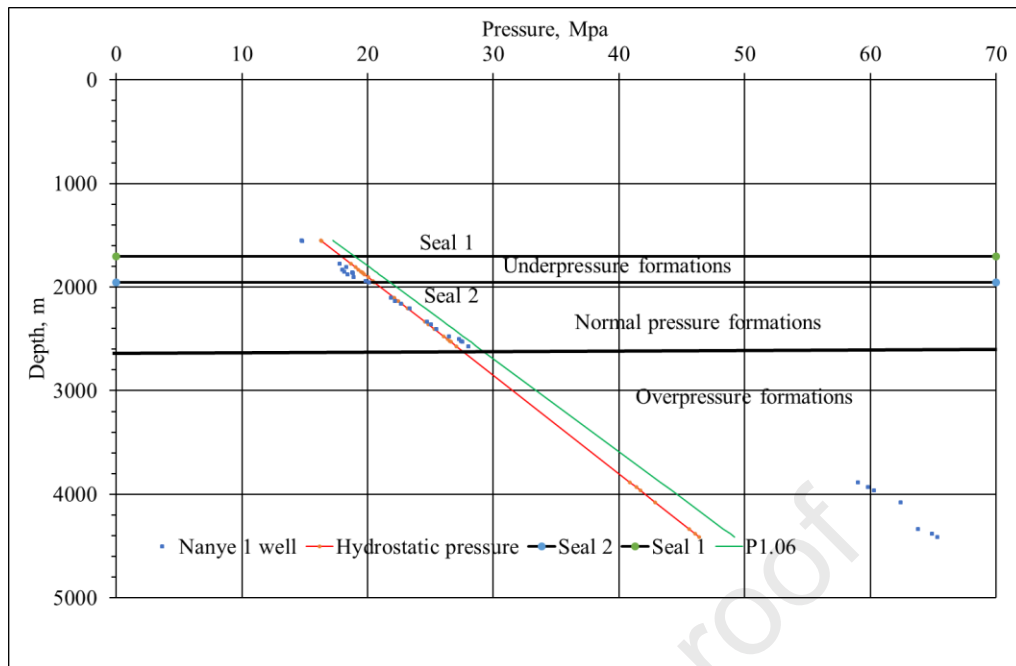


Fig. 17: The pore pressure-depth profile in the SE Sichuan basin, Seal 1 represents the low permeable rock found above the underpressured formations, Seal 2 represents the low permeable rock below the underpressured formations, P1.06 is the region with a pore pressure coefficient of 1.06, Nanye 1 well represents the pore pressure data in the formations

**Highlights**

The article is concerned with the identifications of normal to underpressure formations

Methods including determination of pressure coefficient and pressure depth plot were used in identifying the normal to underpressure formations. Whereas basin modelling, studying the effect of uplift and erosion by a mathematical model, studying the faults system in the basin were used to identify the origins of normal and underpressure formations.

Three formations, Upper Permian Longtan and Middle Permian Maokou formations in the Nanchuan area, and Lower Silurian Longmaxi formations in the Pengshui area, southeast of Chongqing region were identified as normal to underpressure formations.

The origins of these normal and underpressure formations were temperature decrease, the rebound of the rock porosity, and migration of the gas from the formation through the faults.

Tectonic uplift and erosion, and the presence of faults were identified as the main factor which influences the formation of these normal to underpressure formations.

The presence of seal rock was mentioned as the main factor which helped in the conservation of pressure in these formations.



**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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